

PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q71229

Yasuhide ODASHIMA , et al.

Appln. No.: National Stage Entry of PCT/JP2003/009250

Confirmation No.: not yet assigned

Group Art Unit: not yet assigned

Filed: January 12, 2005

Examiner: not yet assigned

For: CONTINUOUS CAST ALUMINUM ALLOY ROD AND PRODUCTION METHOD  
AND APPARATUS THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /KPK/

INFORMATION DISCLOSURE STATEMENT  
National Stage Entry of PCT/JP2003/009250

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2003/009250 dated October 28, 2003), together with an English-language version (if not already included) of that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

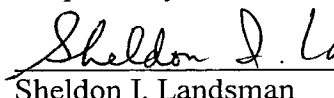
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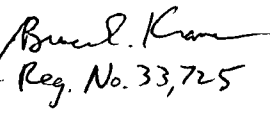
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23373

CUSTOMER NUMBER

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Date: January 12, 2005

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

1/12/05

Sheet 1 of 1

Complete if known 520934

Application Number	National Stage Entry of PCT/JP2003/009250
Confirmation Number	<del>Not yet assigned</del>
Filing Date	<del>January 12, 2005</del> 10/13/05
First Named Inventor	Yasuhide ODASHIMA
Art Unit	<del>Not yet assigned</del> 1793
Examiner Name	<del>Not yet assigned</del> Kerns
Attorney Docket Number	Q71229

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
/KPK/		US 5,915,455	A	6/29/1999	Norsk Hydro a.s.
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
/KPK/		JP	64-15253	A	1/19/1989	SHOWA DENKO K.K.	
/KPK/		JP	2001-20047 *	A	1/23/2001	TOYOTA INDUSTRIES CORPORATION	
/KPK/		JP	2000-54047 *	A	2/22/2000	Nippon Light Metal Co., Ltd.	
/KPK/		JP	6-158210 *	A	6/7/1994	Nippon Light Metal Co., Ltd.	
/KPK/		JP	2-63647 *	A	3/2/1990	SHOWA DENKO K.K.	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
/KPK/		International Search Report for PCT/JP2003/009250 dated October 28, 2003	

Examiner Signature

/Kevin Kerns/

Date Considered

11/16/2008

Note: four JP refs denoted by \* were cited in PTO-892  
(since copies not provided by applicants)

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /KPK/